

Docket No. 203218US6RE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kazunori OZAWA, et al.

SERIAL NO: 09/767,811 (Reissue)

GAU: 2626

FILED: January 23, 2001

EXAMINER: Chawan, V.

FOR: AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449 and the cited European Search Reports. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 203218US6RE		SERIAL NO. 09/767,811	
LIST OF REFERENCES CITED BY APPLICANT					APPLICANT Kazunori OZAWA, et al.			
					FILING DATE January 23, 2001		GROUP 2626	
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		AA						
		AB						
		AC						
		AD						
		AE						
		AF						
		AG						
		AH						
		AI						
		AJ						
		AK						
		AL						
		AM						
		AN						
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO			
	N.C.	AO	JP 05-036293	02/1993	Japan	X		
		AP	JP 04-369754	12/1992	Japan (with English Abstract)			X
		AQ	JP 08-023315	01/1996	Japan	X		
		AR	JP 06-314172	11/1994	Japan	X		
		AS	JP 08-016285	01/1996	Japan	X		
		AT	EP 97 10 1119	01/1998	Europe	X		
		AU	EP 01 11 1429	11/2001	Europe	X		
	N.C.	AV	EP 01 11 1430	11/2001	Europe	X		
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
		AW						
		AX						
		AY						
		AZ						
					<input type="checkbox"/> Additional References sheet(s) attached			
Examiner                  /Vijay Chawan/					Date Considered      09/13/2007			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.